

SBC3 - Status as of 12/31/2015

	Budget	LTD Invoiced	Encumbered	Contract Preencumbrance	Due Date Solicitation Preencumb	Subtotal Commitments	Uncommitted
<u>Commercial and Industrial</u>							
Existing Facilities Program	151,644,121	121,289,110	14,348,398	1,173,533	-	136,811,041	14,833,080
New York Energy Smart Business Partners	21,273,791	20,725,114	-	-	-	20,725,114	548,677
Loan Fund and Financing	26,913,452	26,131,733	-	-	-	26,131,733	781,719
Energy Smart Focus	18,411,858	17,545,785	-	-	-	17,545,785	866,073
High Performance New Buildings	117,587,048	105,888,049	4,120,723	51,213	-	110,059,985	7,527,063
Technical Assistance- Flex Tech	28,644,291	24,867,779	174,312	-	-	25,042,091	3,602,200
<u>Residential</u>							
Single Family Home Performance Total	60,132,823	59,865,192	-	-	-	59,865,192	267,631
Multifamily Building Performance	29,275,975	28,124,591	95,063	-	-	28,219,654	1,056,321
Market Support	53,498,896	53,063,263	4,607	-	-	53,067,870	431,026
Communities and Education	9,646,611	9,545,605	-	-	-	9,545,605	101,006
<u>R&D</u>							
Public Benefit Power Transmission and Distribution	14,666,328	11,254,069	2,248,904	-	-	13,502,973	1,163,355
End Use Renewable Energy Market	24,858,724	23,820,952	-	-	-	23,820,952	1,037,772
Clean Energy Infrastructure	50,237,063	41,925,751	4,782,877	-	-	46,708,628	3,528,435
Distributed Energy Resources	108,318,682	82,546,317	7,236,608	-	-	89,782,925	18,535,757
Demand Response and Innovative Rate Research	4,084,134	3,516,369	187,000	-	-	3,703,369	380,765
Electric Transportation	6,000,000	5,256,438	136,227	-	-	5,392,665	607,335
Environmental Monitoring, Evaluation and Protection	25,596,067	23,715,723	385,517	-	-	24,101,240	1,494,827
Industrial and Municipal Process Efficiency	14,301,971	13,381,532	433,456	-	-	13,814,988	486,983
Next Generation and Emerging Technologies	27,083,787	23,370,780	1,089,060	-	-	24,459,840	2,623,947
Wholesale Renewable Energy Market	3,406,198	2,982,897	-	-	-	2,982,897	423,301
Projects Transferred from ESEERCO	-	(1,249)	-	-	-	(1,249)	1,249
<u>Low Income</u>							
EmPower New York	53,112,001	53,104,657	-	-	-	53,104,657	7,344
Single Family Home Performance	46,672,797	44,871,342	-	-	-	44,871,342	1,801,455
Multifamily Building Performance	115,696,279	112,004,707	719,485	94,802	-	112,818,994	2,877,285
Buying Strategies and Energy Awareness	11,229,826	11,099,875	-	-	-	11,099,875	129,951
Total Program	1,022,292,724	919,896,381	35,962,237	1,319,548	-	957,178,166	65,114,558
<u>DPS Staff-directed activities</u>							
General Awareness	14,975,828	10,970,382	-	-	-	10,970,382	4,005,446 *
DPS Evaluation Consultant	1,125,000	1,125,000	-	-	-	1,125,000	-
Statewide Evaluation Protocol Development (NEEP)	1,490,469	1,490,469	-	-	-	1,490,469	-
DPS Uniform Database	350,000	350,000	-	-	-	350,000	-
Total DPS Staff-directed activities	17,941,297	13,935,851	-	-	-	13,935,851	4,005,446
<u>NYSERDA Admin, Evaluation, and Cost Recovery Fee</u>							
Program Administration	71,709,066	71,709,066	-	-	-	71,709,066	-
NYS Cost Recovery Fee	24,142,924	23,228,869	-	-	-	23,228,869	914,055
Program Evaluation	37,489,111	31,202,247	5,084,522	-	-	36,286,769	1,202,342
Environmental Disclosure	1,876,582	140,582	1,736,000	-	-	1,876,582	-
Total Approved SBC Funding	1,175,451,704	1,060,112,996	42,782,759	1,319,548	-	1,104,215,303	71,236,401
* Reserved in accordance with 1/21/2016 CEF Order (14-M-0094)							
Accumulated Interest Earnings	53,058,522						
Interest allocated and reflected in Budget	(49,509,530)						
Interest transferred to EEPS2 (DPS uniform database)	(23,800)						
Interest to cover future CRF costs	(914,055)						
Available Accumulated Interest Earnings	2,611,137						

EEPS1 - Status as of 12/31/15

Sector	Program	Budget	Expended	Encumbered	Contract Preencumbrance	Due Date Solicitation	Subtotal Commitments	Uncommitted at 12/31/15
<u>Electric Portfolio</u>								
C&I	Agriculture - Elec	3,960,000	3,659,802	105,342	-	-	3,765,144	194,856
	Agriculture Disaster	5,000,000	4,409,954	71,347	-	-	4,481,301	518,699
	Exist Fac - Electric	35,223,462	27,309,041	2,446,950	25,725	-	29,781,716	5,441,746
	Flex Tech - Electric	18,715,623	15,466,032	514,264	-	-	15,980,296	2,735,327
	High Perf NCP - Elec	69,728,550	43,687,127	12,510,767	13,530,656	-	69,728,550	-
	Ind Process - Elec	87,843,185	62,693,290	4,977,365	-	-	67,670,655	20,172,530
	Bnchmrkg&Ops Eff-Elec	4,619,512	4,540,076	-	-	-	4,540,076	79,436
RES	ERMM Electric	2,378,235	2,200,739	-	-	-	2,200,739	177,496
	Geothermal Heat Pump	347,654	300,295	10,997	-	-	311,292	36,362
	HPWES Electric	171,484	167,562	-	-	-	167,562	3,922
	MultiFam Perf MR Elec	1,365,108	1,086,023	37,787	-	-	1,123,810	241,298
	NYESH Electric	337,851	250,529	-	-	-	250,529	87,322
	RES Point of Sale Elec	15,204,871	14,857,284	-	-	-	14,857,284	347,587
LI	AHPWES Electric	73,962	71,013	-	-	-	71,013	2,949
	ANYESH Electric	165,113	157,013	-	-	-	157,013	8,100
	Empower Electric	23,161,874	22,873,050	-	-	-	22,873,050	288,824
	MultiFam Perf LI Elec	1,859,834	1,733,940	96,129	20,917	-	1,850,986	8,848
Gen	Workforce Development	5,088,980	5,088,780	-	-	-	5,088,780	200
Electric Portfolio Total		275,245,298	210,551,550	20,770,948	13,577,298	-	244,899,796	30,345,502
<u>Gas Portfolio</u>								
C&I	Agriculture - Gas	440,000	406,294	2,064	-	-	408,358	31,642
	Exist Fac - Gas	3,555,170	2,561,211	-	-	-	2,561,211	993,959
	Flex Tech - Gas	1,622,688	1,430,738	49,357	-	-	1,480,095	142,593
	High Perf NCP - Gas	3,738,064	2,508,338	689,717	540,009	-	3,738,064	-
	Ind Process - Gas	14,828,158	11,430,622	724,372	-	-	12,154,994	2,673,164
RES	HPWES Gas	10,813,344	10,679,239	-	-	-	10,679,239	134,105
	MultiFam Perf MR Gas	3,465,054	3,097,317	-	-	-	3,097,317	367,737
	NYESH Gas	8,732,943	8,402,806	-	-	-	8,402,806	330,137
LI	AHPWES Gas	7,406,119	7,265,641	-	-	-	7,265,641	140,478
	ANYESH Gas	918,213	877,313	-	-	-	877,313	40,900
	Empower Gas	8,564,762	8,548,979	-	-	-	8,548,979	15,783
	MultiFam Perf LI Gas	11,021,117	8,545,300	737,469	313,650	-	9,596,419	1,424,698
Gas Portfolio Total		75,105,632	65,753,798	2,202,979	853,659	-	68,810,436	6,295,196
Program Total		350,350,930	276,305,348	22,973,927	14,430,957	-	313,710,232	36,640,698
<u>DPS Staff-directed activities:</u>								
	Gen Awareness	19,635,000	9,839,664	-	-	-	9,839,664	9,795,336 *
<u>NYSERDA Admin, Evaluation & CRF</u>								
	Administration	29,624,519	29,624,519	-	-	-	29,624,519	-
	Program Evaluation	20,297,755	14,959,275	3,021,135	-	2,000,000	19,980,410	317,345
	NYS Cost Recovery Fee	9,232,042	8,354,983	-	-	-	8,354,983	877,059
Grand Total		429,140,246	339,083,789	25,995,062	14,430,957	2,000,000	381,509,808	47,630,438
* Reserved in accordance with 1/21/2016 CEF Order (14-M-0094)								
	Accumulated Interest Earnings	7,007,569						
	Interest allocated and reflected in Budget	(3,584,319)						
	Interest to cover future CRF costs	(877,059)						
	Available Accumulated Interest Earnings	2,546,191						

SBC4 - Status as of 12/31/15

	Budget	LTD Invoiced	Encumbered	Contract Preencumbrance	Due Date Solicitation Preencumb	Subtotal Commitments	Uncommitted
Power Supply & Delivery							
Advanced Clean Power	51,771,962	11,840,379	12,807,544	5,610,026	1,000,000	31,257,949	20,514,013
Smart Grid & Electric Vehicle Infrastructure	61,281,382	10,421,437	14,621,243	6,760,176	2,245,606	34,048,462	27,232,920
Building Systems							
Advanced Buildings	75,336,160	10,362,149	15,635,628	8,370,577	22,826,419	57,194,773	18,141,387
Advanced Energy Codes & Standards	16,679,794	2,169,922	6,242,197	749,571	600,000	9,761,690	6,918,104
Clean Energy Infrastructure							
Clean Energy Business Development	41,761,046	12,645,238	11,003,267	300,001	-	23,948,506	17,812,540
Market Development	70,380,281	35,688,624	2,439,963	2,293,799	2,412,621	42,835,007	27,545,274
Envir Monitoring Evaluation & Protection	18,550,048	5,033,998	7,332,043	101,729	3,500,000	15,967,770	2,582,278
Combined Heat & Power	75,000,000	5,019,391	24,306,824	12,741,984	-	42,068,199	32,931,801
Workforce Development							
Workforce Development - Renewable Energy	15,000,000	3,621,672	1,621,938	339,820	250,000	5,833,430	9,166,570
Workforce Development - Energy Efficiency	24,000,000	5,702,434	3,830,391	57,500	-	9,590,325	14,409,675
Program Subtotal	<u>449,760,673</u>	<u>102,505,244</u>	<u>99,841,038</u>	<u>37,325,183</u>	<u>32,834,646</u>	<u>272,506,111</u>	<u>177,254,562</u>
Administration	39,765,533	29,698,233	16,619	-	-	29,714,852	10,050,681
Program Evaluation	26,363,455	3,938,107	5,605,245	-	13,000,000	22,543,352	3,820,103
NYS Cost Recovery Fee	7,585,946	2,961,798	-	-	-	2,961,798	4,624,148
Grand Total	<u>523,475,607</u>	<u>139,103,382</u>	<u>105,462,902</u>	<u>37,325,183</u>	<u>45,834,646</u>	<u>327,726,114</u>	<u>195,749,493</u>
Available Accumulated Interest Earnings	<u>4,487,977</u>						

RPS - Status as of 12/31/15

	Budget	LTD Invoiced	Encumbered	Contract Preencumbrance	Due Date Solicitation	Subtotal Commitments	Uncommitted at 12/31/15
Main Tier	2,143,303,350	429,687,093	803,055,058	68,003,962	-	1,300,746,113	842,557,237
<u>Customer-Sited Tier</u>							
Open Enrollment PV	387,963,808	317,008,768	69,617,995	1,337,045	-	387,963,808	-
Fuel Cells	34,597,205	3,225,000	6,741,316	24,630,889	-	34,597,205	-
Anaerobic Digesters	41,759,882	18,145,108	14,481,434	9,133,340	-	41,759,882	-
Small Wind	13,429,397	10,481,414	2,857,183	90,800	-	13,429,397	-
Solar Thermal	18,534,738	10,341,207	5,399,959	2,793,572	-	18,534,738	-
Competitive PV	213,685,649	36,003,329	163,209,668	3,760,261	-	202,973,258	10,712,391
General Funding Pool	20,804,321	-	-	-	-	-	20,804,321
Customer-Sited Tier Total	<u>730,775,000</u>	<u>395,204,826</u>	<u>262,307,555</u>	<u>41,745,907</u>	-	<u>699,258,288</u>	<u>31,516,712</u>
RPS Total Program	<u>2,874,078,350</u>	<u>824,891,919</u>	<u>1,065,362,613</u>	<u>109,749,869</u>	-	<u>2,000,004,401</u>	<u>874,073,949</u>
Administration - staff/overhead	49,877,278	32,775,540	96,318	1,000	-	32,872,858	10,106,715
QAQC-CST-Anaerobic Digesters	-	775,356	734,155	-	-	1,509,511	
QAQC-CST-Fuel Cells	-	40,305	-	-	-	40,305	
QAQC-CST-PV & Small Wind	-	4,689,011	437,659	-	-	5,126,670	
QAQC-CST-On-Site Wind	-	-	-	-	-	-	
QAQC-CST-Solar Thermal	-	143,524	77,695	-	-	221,219	
QAQC-CST-Geo Balancing	-	-	-	-	-	-	-
Administration-consultant support	5,218,895	3,750,381	215,454	99,000	-	4,064,835	1,154,060
NYS Cost Recovery Fee	46,818,844	21,221,077	-	-	-	21,221,077	25,597,767
Program Evaluation	2,432,827	1,334,734	27,012	-	750,000	2,111,746	321,081
Total RPS Budget	<u>2,978,426,194</u>	<u>889,621,847</u>	<u>1,066,950,906</u>	<u>109,849,869</u>	<u>750,000</u>	<u>2,067,172,622</u>	<u>911,253,572</u>
Accumulated Interest Earnings	25,469,991						
Interest allocated and reflected in Budget	(10,404,107)						
Interest earnings to cover future CRF costs	<u>(24,543,872)</u>						
Accumulated Interest Earnings	<u>(9,477,988)</u>						